PTO/SB/05 (2/98) (modified)
Approved for use through 9/30/2000, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number	3765
First Named Inventor	Steven C. Glassman
Total Pages in this Submission	41
Express Mail Label No.	EM083020487US

APPLICATION ELEMENTS

1. ☑ Fee Transmittal Form (in duplicate)
 ☑ Check Enclosed
2. ☑ Specification
 (preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference(s) to Related Case(s)
 - Statement Regarding Fed sponsored R & D
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawing(s)
 - Detailed Description
 - Claim or Claims
 - Abstract of the Disclosure
3. ☑ Drawing(s) *(when necessary per 35 USC 113)*
4. Oath or Declaration
 a. ☑ New Declaration
 ☐ Executed
 b. ☐ Copy from a prior application (37 CFR 1.63(d))
 (for continuation/divisional with Box 17 completed)
 i. ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference *(useable if Box 4b is checked)*. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

6. ☐ Assignment & PTO-1595
7. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
8. ☐ Information Disclosure Statement & PTO-1449
 ☐ Copies of IDS Citation(s)
9. ☐ Preliminary Amendment
10. Small Entity Statement
 ☐ New Statement enclosed
 ☐ Statement filed in prior application. Status still proper and desired
11. ☑ Return Postcard
12. ☐ _____
13. ☐ _____
14. ☐ _____
15. ☐ _____
16. ☐ _____

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

17. **If a CONTINUING APPLICATION,** *check appropriate box and supply the requisite information below and in a preliminary amendment:*

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ___/_____

Prior application information: *Examiner:* _____ *Group/Art Unit:* _____

18. CORRESPONDENCE ADDRESS

NAME	Brian M. Hoffman Fenwick & West LLP				
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CITY	Palo Alto	*STATE*	CA	*ZIP CODE*	94306
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Name (Print/Type)	Brian M. Hoffman	*Registration No. (Attorney/Agent)*	39,713
Signature		*Date*	3/19/99

Rev. 03/19/99

COPY

PTO/SB/17 (6-95)(modified)
Approved for use through 11/30/96, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) Rev. 10/95 — U.S. Department of Commerce, Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **($)856.00**

Complete if Known	
Application Number	Unknown
Filing Date	March 19, 1999
First Named Inventor	Steven C. Glassman
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	3765

jc511 U.S. PTO 09/273102 03/19/99

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

[] Charge the indicated fees to the below mentioned deposit account.

[X] Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †

[] Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.

Deposit Account Number: 19-2555
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. [X] Payment Enclosed:
[X] Check [] Other

FEE CALCULATION (fees effective 11/12/98)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/$760	201/$380	Utility Filing	760
106/$310	206/$155	Design Filing	
108/$760	208/$380	Reissue Filing	
114/$150	214/$75	Provisional Filing	
		SUBTOTAL (1)	($)760

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/$18	203/$9	Claims in excess of 20
102/$78	202/$39	Independent claims in excess of 3
104/$260	204/$130	Multiple dependent claim
109/$78	209/$39	Reissue independent claims over original patent
110/$18	210/$9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/$130	205/$65	Surcharge - late filing fee or oath	
127/$50	227/$25	Surcharge-late provisional filing fee or cover sheet	
147/$2,520	147/$2,520	For filing a request for reexamination	
115/$110	215/$55	Extension for response within first month†	
116/$380	216/$190	Extension for response within second month†	
117/$870	217/$435	Extension for response within third month†	
118/$1,360	218/$680	Extension for response within fourth month†	
128/$1,850	228/$925	Extension for response within fifth month†	
119/$300	219/$150	Notice of Appeal	
141/$1,210	241/$605	Petition to revive unintentionally abandoned application	
142/$1,210	242/$605	Utility Issue Fee (Or Reissue)	
143/$430	243/$215	Design Issue Fee	
122/$130	122/$130	Petitions to the Commissioner	
123/$50	123/$50	Petitions related to provisional applications	
126/$240	126/$240	Submission of Information Disclosure Statement	
581/$40	581/$40	Recording each patent assignment per property (times number of properties)	
146/$760	246/$380	Filing a submission after final rejection (37 CFR 1.129(a))	
149/$760	249/$380	For each additional invention to be examined (37 CFR 1.129(b))	
		Other fee *(specify)*:	
		Other fee *(specify)*:	
		SUBTOTAL (3)	($)

For	(Col. 1) No. of Existing Claims		(Col. 2) Highest No. Previously Paid For		(Col. 3) Extra**		Fee		Fee Due
TOTAL	21	minus*	20	or =	1	x	18	=	18
INDEP	4	minus*	3	or =	1	x	78	=	78
[] First presentation of multiple dependent claim								=	

* *Subtract the greater number of Col. 2*
** *If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3*

SUBTOTAL (2) ($)96

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Brian M. Hoffman	Reg. Number	39,713
Signature		Date	3/19/99

† *Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby*